# **Globally Optimal Direction Fields**

Felix Knöppel TU Berlin

Keenan Crane Caltech

Ulrich Pinkall TU Berlin

Peter Schröder Caltech

## Abstract

We present a method for constructing smooth n-direction fields (line fields, cross fields, etc.) on surfaces that is an order of magnitude faster than state-of-the-art methods, while still producing fields of equal or better quality. Fields produced by the method are globally optimal in the sense that they minimize a simple, well-defined quadratic smoothness energy over all possible configurations of singularities (number, location, and index). The method is fully automatic and can optionally produce fields aligned with a given guidance field such as principal curvature directions. Computationally the smoothest field is found via a sparse eigenvalue problem involving a matrix similar to the cotan-Laplacian. When a guidance field is present, finding the optimal field amounts to solving a single linear system.

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Links: DL

#### 1 Introduction

A direction field  $\varphi$  of degree  $n \in \mathbb{N}$  associates a collection of nevenly spaced unit tangent vectors to each point of a surface. For instance, n = 1, 2 and 4 correspond to direction, line, and cross fields, respectively (Fig. 2). In general such fields must have singularities, i.e., isolated points where the field fails to vary smoothly.

At first glance, computing the smoothest *n*-direction field appears to be a difficult combinatorial optimization problem for two reasons. First, we must determine the optimal number, placement, and indices of singularities. Second, we must identify directions that differ in angle by integer multiples of  $2\pi/n$ . For a *fixed* configuration of singularities, Crane et al. [2010] demonstrate that an optimal solution can be found by solving a pair of sparse linear systems. In many situations, however, it is desirable to place singularities automatically. Historically this task has been formulated in terms of difficult nonconvex optimization problems where little can be said about global optimality (Sec. 1.1). In this paper we describe a simple quadratic smoothness energy that easily admits a global minimum with respect to all possible configurations of singularities. Fig. 1 shows one example.

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Figure 1: Smoothest unit vector field on the Stanford bunny over all possible configurations of singularities, computed by solving a single eigenvector problem. Red and blue spheres indicate positive and negative singularities, respectively. (471ms, |T| = 28k)

Our method has two key ingredients. First, we represent ndirection fields by storing the  $n^{\text{th}}$  power of a complex number at each vertex, together with an arbitrary (but fixed) tangent basis direction. Optimizing the smoothness of such a field does not require period jumps or trigonometric functions as in previous methods (Sec. 1.1). Second, we measure the smoothness of an n-direction field using the ground state energy of an appropriate Schrödinger operator. Unlike many methods, this formulation does not require a nonconvex unit-norm constraint on each vector, and is well-defined even for singular n-direction fields (Sec. 3). In addition, we introduce a continuum of "geometryaware" smoothness energies that provide a tradeoff between the straightness of field lines and the total number of singularities. Finally, we allow a tradeoff between smoothness and alignment with a guidance field, which in the case of principal curvature alignment leads to a simple, automatic scheme without the need for careful tuning of parameters.



Figure 2: Left to right: examples of n-direction fields for n = 1(direction), n = 2 (line), and n = 4 (cross), near singularities of index +1,  $+\frac{1}{2}$ , and  $+\frac{1}{4}$ , respectively.

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Figure 3: Smoothness energies involving integer variables are easy to formulate but difficult to minimize. Left: solution produced by the mixed-integer method of Bommes et al. [2009]. Center: solution produced by our method with s = 0. Right: solution produced by our method with s = 1. Both solutions are global minimizers; the parameter s offers a tradeoff between smoothness and number of singularities.

For triangle meshes our algorithm requires the setup of a matrix similar to the cotan-Laplacian, but with complex instead of real variables. To build this system we need a *connection*, *i.e.*, a prescription for mapping tangent vectors between tangent spaces, expressed via unit complex numbers (rotations) associated with edges. Finding an eigenvector corresponding to the smallest eigenvalue of this matrix gives a global minimizer of the smoothness energy. If alignment with a given field is desired, solving a single linear system yields a global minimizer of a weighted smoothness and alignment energy. An outline of these algorithms is given at the end of Sec. 6.

Throughout we will distinguish between *directions* which have unit length, and *vectors* which can have any length.

#### 1.1 Related Work

Computation of smooth *n*-direction fields on surfaces, in particular the case n = 4, is an essential component in applications ranging from nonphotorealistic rendering [Hertzmann and Zorin 2000] to texture synthesis [Lefebvre and Hoppe 2006], parameterization [Ray et al. 2006], and remeshing [Kälberer et al. 2007; Bommes et al. 2009; Nieser et al. 2012], to name a few. In these applications one is often interested in smooth fields which approximate directions of *principal curvature*, *i.e.*, the most extreme directions of bending. While it is relatively straightforward to *formulate* energies which encode the desired effects, they are typically nonconvex and often NP-hard to solve. Finding a simple *convex* formulation that yields the globally smoothest *n*-direction fields – in *any* well-defined sense – has so far eluded researchers in this area.

A principal difficulty is the need to identify directions modulo  $2\pi/n$ . One possible approach is to simply multiply angles by *n*. For example, Hertzmann and Zorin [2000] work with 4-direction fields and use an energy

$$E(\phi) = -\sum_{ij\in E} \cos\left(4(\phi_i - \phi_j + \theta_{ij})\right),\,$$

where angles  $\phi_i$  specify directions at vertices and  $\theta_{ij}$  is the angle between neighboring tangent frames. A variant of this "cosine" energy was later adopted by Kälberer *et al.* [2007]. Owing to nonconvexity, little can be said about optimality of solutions found via local descent; moreover, results depend on initialization.

ACM Transactions on Graphics, Vol. 32, No. 4, Article 59, Publication Date: July 2013



Figure 4: Dirichlet energy of a unit n-direction field is not a reliable measure of quality, since under refinement the energy contributed by a singularity grows without bound. Top: uniform tessellation yields the expected result: antipodal singularities have lower energy than the configuration on the right. Bottom: refining around the poles increases the energy of the antipodal pair, reversing this relationship. This phenomenon is a consequence of the smooth formulation and is not fixed by, e.g., more accurate area weighting.

Another possibility is to use the *representation vectors*  $(u_i, v_i) := (\cos(n\phi_i), \sin(n\phi_i))$  themselves as variables [Ray et al. 2006; Palacios and Zhang 2007; Ray et al. 2009]. Now however an additional unit constraint  $u_i^2 + v_i^2 = 1$  enters, leading to an NP-hard nonconvex problem [Ling et al. 2009]. In practice the unit constraint is included as a penalty term or relaxed through iterative renormalization. As before, little can be said about global optimality.

A different route was taken by Ray *et al.* [2008] who work with angles directly and use so-called *period jumps*  $p_{ij} \in \mathbb{Z}$  to compare angles across a given edge  $e_{ij}$ , leading to a smoothness energy

$$E(\phi, p) = \sum_{e_{ij} \in E} \left( \phi_j - \phi_i + \theta_{ij} + \frac{2p_{ij}\pi}{n} \right)^2, \tag{1}$$

which is quadratic in  $\phi$  and p. Since the period jumps are integer variables, the feasible set of solutions is nonconvex. In general, mixed-integer optimization problems are NP-hard and a relaxation must be applied. Ray *et al.* apply a direct rounding procedure after first treating the  $p_{ij}$  as real valued, while Bommes *et al.* [2009] use a greedy rounding procedure which tends to produce solutions with lower energy. Again, global optimality of the resulting solutions cannot be asserted.

In all these approaches the user need not provide locations for the singularities (which must be present on surfaces of arbitrary topology). If singularities are prescribed ahead of time, very simple quadratic formulations are possible as demonstrated by Fisher *et al.* [2007] for vector fields and by Crane *et al.* [2010] for *n*-direction fields.

Our representation is perhaps closest to that of Kass and Witkin [1987] who used squared complex numbers to extract unoriented line fields (*i.e.*, n = 2) from images. Palacios and Zhang [2007] propose a similar idea for arbitrary n but use real representation vectors ( $R\cos(n\phi), R\sin(n\phi)$ ) and encode transformations between tangent spaces via matrices. These representations are attractive, but neither work addresses the question of finding optimally smooth fields on surfaces.

## 2 *n*-Vector Fields on Surfaces

We begin with a description of our representation of *n*-vector fields on surfaces in the continuous setting; in Sec. 6 we discuss discretization on triangle meshes. Here and throughout *i* denotes the imaginary unit. We use  $|\cdot|$  to denote the magnitude of a complex number and "arg" to denote the angle it makes with the real axis. Recall that a vector  $z \in \mathbb{C}$  rotated by an angle  $\theta \in \mathbb{R}$  can be expressed as  $e^{i\theta}z$ .



## Real Planes and Complex Lines

Traditionally, a tangent space  $T_pM$  over a point p of a surface M is viewed as a copy of the real Euclidean plane  $\mathbb{R}^2$ . In this case, any tangent vector can be expressed as a real linear combination of two basis vectors  $e_1, e_2, i.e., xe_1 + ye_2$  for some pair of coefficients  $x, y \in \mathbb{R}$ . A useful alternative is to think of  $T_pM$  as a copy of the complex numbers  $\mathbb{C}$ , in which case any vector can be written as a *complex* multiple of a *single* basis vector  $e_1$ . (For instance, any point in  $\mathbb{C}$  can be expressed as some complex number z times the real unit vector 1.) In this sense,  $\mathbb{C}$ 

is a *one*-dimensional complex vector space, also known as the *complex line*.

*n*-Vector Fields When viewed as a collection of complex lines, the tangent bundle *TM* is referred to as a *complex line bundle*, and a choice of unit basis vector  $X_p \in T_pM$  at each point  $p \in M$  is called a *basis section*. The *complex structure J* represents a 90-degree rotation in each tangent space. For surfaces in  $\mathbb{R}^3$ , *J* is induced by a quarter turn around the unit normal *N*, *i.e.*, for any tangent vector field *Z* we let  $(JZ)_p := N_p \times Z_p$ .

As suggested above, a vector field *Z* can be expressed as Z = zX for some coefficient function  $z : M \to \mathbb{C}$  relative to a basis section *X*. Likewise, any *n*-vector field  $\psi$  can be expressed as a collection of complex functions

$$\{e^{i2k\pi/n}z, k=0,\ldots,n-1\}$$

which describes *n* copies of *Z*, each rotated by some integer multiple of  $2\pi/n$ . Alternatively, we can raise any of these functions to the *n*<sup>th</sup> power yielding the single complex function

$$u := z^n$$
,

as depicted in Fig. 5. This function provides a concise representation of an *n*-vector field via  $\psi = uX$ . Moreover if b = |u|and  $\phi = \arg(u)$ , we can then recover individual vector fields by computing the *n*<sup>th</sup> roots

$$\{b^{1/n}e^{i(\phi/n+2k\pi/n)}, k=0,\ldots,n-1\}.$$

Note that these functions carry meaning only with respect to the chosen basis section. In particular, we cannot treat them as ordinary scalar functions, but instead have to consider parallel transport, as discussed below. Formally, an *n*-vector field is a section of the  $n^{\text{th}}$  order tensor product  $L := TM^{\otimes n}$  and the basis section X is naturally identified with a basis section of L.

**Parallel Transport** The main benefit of this representation is that, at least within a given tangent space  $T_pM$ , we can measure the difference between two *n*-vectors via the simple quadratic expression  $|u_1(p)-u_2(p)|^2$ . When comparing vectors from different tangent spaces, however, we must be more careful – in particular,



Figure 5: We represent tangent vectors as complex numbers; directions that differ in angle by some multiple of  $2\pi/n$  become indistinguishable when raised to the n<sup>th</sup> power.

we must first map both vectors into a common tangent space via *parallel transport*. More explicitly, let  $X_p$  and  $X_q$  be basis vectors for the two tangent spaces  $T_pM$  and  $T_qM$ , respectively, and let  $\gamma$  be a geodesic from p to q, as depicted in Fig. 6. If  $\theta_p$ ,  $\theta_q$  are the angles made by  $\gamma$  with the two basis vectors and  $\theta_{pq} := \theta_q - \theta_p$  is the difference between these angles, then the *parallel transport* map  $P_{pq}$ :  $T_pM \to T_qM$  is given by

$$P_{pq}(z_p X_p) := e^{i\theta_{pq}} z_p X_q.$$
<sup>(2)</sup>

In other words, the coefficient  $z_p$  with respect to  $X_p$  gets mapped to the coefficient  $e^{i\theta_{pq}}z_p$  with respect to  $X_q$ . Geometrically, the parallel transport map translates vectors from one tangent space to another without any in-plane rotation (Levi-Civita). The difference between two vectors is then defined as

$$|e^{i\theta_{pq}}z_p-z_q|^2$$

and more generally we can write the difference between two n-vectors as

$$|(e^{\iota\theta_{pq}}z_p)^n - z_q^n|^2 = |e^{\iota n\theta_{pq}}z_p^n - z_q^n|^2 = |r_{pq}u_p - u_q|^2, \quad (3)$$

where for convenience we define the angle

$$\rho_{pq} := n\theta_{pq} \tag{4}$$

and the corresponding transport coefficient

 $r_{pq} := e^{\iota \rho_{pq}}.$ 

Importantly, Eq. (3) remains quadratic in z since the coefficient r is constant, depending only on the geometry of M, the choice of basis section X, and the degree n of the field. Note that by introducing the Levi-Civita connection, we also introduce a dependence on the Riemannian metric.



Figure 6: To transport a vector from one tangent space to another it is sufficient to measure the angle it makes against a geodesic connecting the two tangent spaces.

## 3 Smooth *n*-Direction Fields

We now focus on *n*-direction fields, *i.e.*, unit *n*-vector fields. The smoothness of a function is often measured via its *Dirichlet energy*; this energy can also be used for *n*-vector fields  $\psi = uX$ :

$$E_D(\psi) := \frac{1}{2} \int_M |\nabla \psi|^2 dA.$$
(5)

Here  $\nabla$  denotes the covariant derivative, *i.e.*, the Levi-Civita connection on *M*. For *n*-direction fields there are two main problems with this measure of smoothness. First, we must enforce the pointwise constraint |u| = 1, resulting in hard optimization problems as discussed in Sec. 1.1. Second, the Dirichlet energy of a *singular n*-direction field is not in general well-defined. In particular, consider that any vector field *Z* can be expressed as the product of a unit vector field  $\tilde{Z}$  and a real nonnegative scale factor *a*, *i.e.*,  $Z = a\tilde{Z}$ . Since the change in a *unit* vector field is orthogonal to the field itself, we get  $\nabla Z = (\nabla a)\tilde{Z} + a\omega J\tilde{Z}$ , where  $\omega$  is the rotation speed of *Z*. The Dirichlet energy of *Z* is then

$$\frac{1}{2}\int_{M}|\nabla Z|^{2}dA = \frac{1}{2}\int_{M}|\nabla a|^{2} + a^{2}|\omega|^{2}dA = \frac{1}{2}\langle\langle(\Delta + |\omega|^{2})a,a\rangle\rangle$$

where  $\Delta$  is the positive semidefinite Laplace-Beltrami operator on *M* and  $\langle\!\langle .,. \rangle\!\rangle$  denotes the  $L_2$  inner product. For a *direction* field the scale factor is  $a \equiv 1$ , hence the Dirichlet energy is simply  $\int_M |\omega|^2$ . The rotation speed  $\omega$  at a distance *r* from a singularity is proportional to 1/r (as  $r \to 0$ ) since the total angle of rotation  $2k\pi$  is divided by the circumference  $2\pi r$  for a circle of radius *r*. Consequently, the Dirichlet energy of a direction field with singularities is undefined (infinite).

On a mesh, Dirichlet energy is finite but blows up under refinement. For instance, even if we add area weights to the energy  $E(\phi, p)$  (Eq. (1)), refinement around singularities increases energy so much that visibly inferior solutions yield lower energy (Fig. 4). This observation motivates a different treatment of smoothness. In particular, we define the energy  $\hat{E}$  of an *n*direction field  $\varphi$  as the lowest Dirichlet energy among all *n*-vector fields  $\psi = a\varphi$  parallel to  $\varphi$ . In other words, to evaluate the energy of a fixed unit field  $\varphi$  we look for an optimal scaling  $a \ge 0$ :

$$\hat{E}(\varphi) := \min_{a \ge 0, \|a\|=1} \int_{M} |\nabla(a\varphi)|^2 dA,$$
(6)

where the constraint ||a|| = 1 prevents the trivial solution  $a \equiv 0$ .



Figure 7: Our algorithm can produce fields of any degree – here n = 3 (left) and n = 5 (right) using the holomorphic energy (Sec. 4).

ACM Transactions on Graphics, Vol. 32, No. 4, Article 59, Publication Date: July 2013



Figure 8: Smoothest direction fields for varying n, as measured by the holomorphic energy. For higher n singularities arise in groups, as if splitting from those for smaller n. (382ms, |T| = 25k)

At first glance this energy seems ill-defined, since the potential  $|\omega|^2$  is unbounded for singular *n*-direction fields. However, minimizing the quadratic form  $\langle \langle (\Delta + |\omega|^2)a, a \rangle \rangle$  over unit-norm functions *a* is equivalent to solving the eigenvalue problem

$$(\Delta + |\omega|^2)a = \lambda a$$

for the smallest eigenvalue  $\lambda$  and corresponding eigenfunction a. In physics, this equation is known as a *time-independent* Schrödinger equation, where the scalar function  $|\omega|^2$  can be interpreted as the potential energy of a particle moving on the surface. The eigenfunction a with smallest eigenvalue is equivalent to the wave function of a free particle with lowest energy, which is always well-defined. From here, the globally optimal *n*-direction field is obtained by minimizing  $\hat{E}(\varphi)$  over all unit fields  $\varphi$ :

$$\min_{|\varphi|=1} \hat{E}(\varphi) = \min_{|\varphi|=1} \left( \min_{a \ge 0, \|a\|=1} \int_M |\nabla(a\varphi)|^2 dA \right).$$

But since the set of rescaled *n*-direction fields is no different from the set of all *n*-vector fields, we can instead solve

$$\min_{||\psi||=1}\int_M |\nabla\psi|^2 dA,$$

which amounts to a smallest eigenvalue problem  $\Delta \psi = \lambda \psi$ , and recover the solution to our original problem via  $a \leftarrow |\psi|$ ,  $\varphi \leftarrow \psi/a$ . In the end, we obtain a smoothest *n*-direction field (among all possible configurations of singularities) via a surprisingly simple procedure: find the smoothest n-vector field and normalize the resulting vectors. The physical interpretation of  $\hat{E}(\varphi)$  also provides some insight into why the globally smoothest *n*-direction field has a small (though not necessarily minimal) number of singularities: adding too many poles to  $\omega$  increases the potential energy  $|\omega|^2$ , hence it also increases the groundstate energy of the corresponding wave function. We emphasize, however, that we never explicitly build the Schrödinger operator  $\Delta + |\omega|^2$  or minimize the energy  $\hat{E}$  – these objects are introduced simply to analyze the intermediate variational problem. In practice we need only build the Laplacian  $\Delta$  used in the final eigenvalue problem.

Fig. 8 visualizes the fields we obtain by varying *n* on the same shape; Fig. 7 shows more exotic examples.

## 4 Quadratic Smoothness Energies

The most commonly used smoothness energy is the Dirichlet energy  $E_D$ . However, we can obtain a richer family of energies by orthogonally splitting the covariant derivative into a sum of Cauchy-Riemann derivatives, *i.e.*,  $\nabla \psi = \bar{\partial} \psi + \partial \psi$  where

$$\bar{\partial}_Z \psi := \frac{1}{2} \left( \nabla_Z \psi + J \nabla_{JZ} \psi \right), \quad \partial_Z \psi := \frac{1}{2} \left( \nabla_Z \psi - J \nabla_{JZ} \psi \right),$$

and *Z* is an arbitrary vector field (see [Wirtinger 1927] and [Ahlfors 1966, p. 27]). We call an *n*-vector field *holomorphic* if  $\bar{\partial}_Z \psi = 0$  for all vector fields *Z*, and *anti-holomorphic* if  $\partial_Z \psi = 0$  [Napier and Ramachandran 2011, Ch. 2.4]. These definitions mirror the standard notion that a function  $f : \mathbb{C} \to \mathbb{C}$  is holomorphic (*i.e.*, angle- and orientation-preserving) if it satisfies the Cauchy-Riemann equation  $0 = \bar{\partial}f = \frac{1}{2}(\frac{\partial}{\partial x} + \iota \frac{\partial}{\partial y})f = 0$ .

Using the orthogonal splitting described above, the Dirichlet energy decomposes into holomorphic and anti-holomorphic terms  $E_H$  and  $E_A$ , respectively:

$$E_{D}(\psi) = E_{H}(\psi) + E_{A}(\psi) := \frac{1}{2} \int_{M} |\bar{\partial}\psi|^{2} dA + \frac{1}{2} \int_{M} |\partial\psi|^{2} dA.$$

We therefore define our smoothness energy as

$$E_s := (1+s)E_H + (1-s)E_A = E_D - s(E_A - E_H),$$
(7)

providing a continuum from anti-holomorphic (s = -1) to Dirichlet (s = 0) to holomorphic (s = 1) energy. Equivalently, the parameter *s* controls the deviation of  $E_s$  from the standard Dirichlet energy by the difference

$$E_A(\psi) - E_H(\psi) = \frac{1}{2} \int_M nK |\psi|^2 dA - \frac{1}{2} \int_{\partial M} \operatorname{Im} \langle \nabla \psi, \psi \rangle,$$

where *K* denotes Gaussian curvature (App. C). The potential in the Schrödinger operator now becomes  $|\omega|^2 - \frac{s}{2}nK$ , biasing singularities towards areas of high (s < 0) or low (s > 0) Gaussian curvature – this is the sense in which our smoothness energy is "geometry aware." More precisely, direction fields obtained for  $s = \pm 1$  depend only on the conformal structure; for all other *s*, results depend on the metric. As shown in Fig. 9, the parameter *s* provides a useful tradeoff between number of singularities and "straightness" (*i.e.*, geodesic curvature) of integral curves.



Figure 9: When seeking a smooth vector field, one often faces a choice: fewer singularities, or straighter field lines? Our method offers a tradeoff between the two, determined by a continuously varying parameter s. As seen above, s = 1 (holomorphic energy) typically yields fewer singularities, whereas s = 0 (Dirichlet energy) gives straighter lines; s = -1 (anti-holomorphic energy) provides a compromise between the two. (405ms, |T| = 25k)

**Equation to Solve** Let *A* be the positive semidefinite quadratic form given by  $E_s(\psi) = \frac{1}{2} \langle \langle A\psi, \psi \rangle \rangle$ . We find a global minimizer  $\psi$  of  $E_s$  among fields with unit norm by solving the problem

$$A\psi = \lambda\psi \tag{8}$$

for the smallest eigenvalue  $\lambda \in \mathbb{R}$ .

**Discussion** The solution  $\psi$  to Eq. (8) is unique up to a complex constant with unit norm – this constant determines the global "phase," *i.e.*, it rotates every tangent vector by the same angle. In the case where  $\lambda$  is a repeated eigenvalue we obtain one of many possible solutions; note that all such solutions are global minimizers of our smoothness energy. Alignment constraints (Sec. 5) can be used to specify additional criteria.

A 1-vector field Y on M is anti-holomorphic if and only if the associated real-valued 1-form  $X \mapsto \langle Y, X \rangle$  is harmonic, where  $\langle \cdot, \cdot \rangle$  denotes the Riemannian metric. Likewise, since vector fields on any open set  $U \subset \mathbb{C}$  can be naturally identified with complex functions, we can say that *Y* is holomorphic whenever it can be expressed as a holomorphic function in each local coordinate chart. These relationships help us make the connection with existing methods from computer graphics. For instance, holomorphic 1-forms (corresponding to anti-holomorphic 1-vector fields) appear in [Gu and Yau 2003] in the context of parameterization, while the holomorphic energy for functions was first used in the context of parameterization by Lévy et al. [2002] and Desbrun et al. [2002], where it was referred to as the conformal energy. Anti-holomorphic energy was used by Fisher et al. [2007] in a DEC [Desbrun et al. 2008] context to find smoothest 1-vector fields as minimizers of the Hodge-Laplacian  $\frac{1}{2}\int_{M}|\nabla\times Z|^{2}+|\nabla\cdot\ Z|^{2}=\int_{M}|\partial Z|^{2}=2E_{A}(Z).$  The holomorphic energy of 1-vector fields also appeared in the work of Ben-Chen et al. [2010, Eq. 8], where the Killing energy of a 1-vector field is defined as  $E_K(Z) = 4 \int_M \frac{1}{2} |\nabla Z|^2 + |\bar{\partial}Z|^2$ , encoding the fact that a Killing 1-vector field is both holomorphic and divergence free.

## **5** Alignment Energies

It is often desirable to balance smoothness and alignment with a given field  $\phi$ . Alignment is accomplished via the functional

$$E_{l}(\psi) = \int_{M} \operatorname{Re}\left(\langle \phi, \psi \rangle\right) dA = \operatorname{Re}\left(\langle \langle \phi, \psi \rangle \rangle\right).$$

where  $\phi$  is normalized so that  $||\phi|| = 1$ . We then let

$$E_{s,t}(\psi) := (1-t)E_s(\psi) - tE_l(\psi)$$
(9)

where  $t \in [0, 1]$  controls the strength of alignment. Just as before we minimize  $E_{s,t}$  over all fields  $\psi$  with  $\|\psi\| = 1$ . The pointwise magnitude  $|\phi|$  determines the local weighting between alignment and smoothness terms. For example, setting  $\phi = 0$  on regions with missing or unreliable information will smoothly interpolate data from regions where  $|\phi| > 0$ .

**Equation to Solve** Let *A* be the quadratic form corresponding to  $E_{s,t}$ . We find the global minimizer of  $E_{s,t}$  by solving

$$(A - \lambda_t I)\tilde{\psi} = \phi, \qquad (10)$$

and normalizing the result, *i.e.*,  $\psi \leftarrow \widetilde{\psi}/||\widetilde{\psi}||$  (App. A). Letting  $\lambda_1$  be the smallest eigenvalue of *A*, the parameter  $\lambda_t \in (-\infty, \lambda_1)$  controls the tradeoff between alignment  $(\lambda_t \rightarrow -\infty \text{ for } t \rightarrow 1)$  and smoothness  $(\lambda_t \rightarrow \lambda_1 \text{ for } t \rightarrow 0)$ , as illustrated in Fig. 10. See Appendix A for further discussion.



Figure 10: Adding an alignment term, we can interpolate between a curvature aligned field (upper left) and a smooth field (lower right). Here s = 0 and  $\lambda_1$  is the smallest eigenvalue; we use principal curvature as a guidance field. (498ms, |T| = 65k)

## 6 Computation on Triangle Meshes

So far we have described our approach in the smooth setting. In this section we collect all details needed for numerical computation on triangle meshes, which are based on a finite element discretization using piecewise linear (PL) basis sections.

**Triangle Meshes** We assume that our input is an oriented 2manifold triangle mesh of arbitrary topology with or without boundary. We use *V*, *E*, and *T* to denote the set of vertices  $v_i$ , edges  $e_{ij}$  and triangles  $t_{ijk}$ , respectively. Vertices have coordinates  $p_i \in \mathbb{R}^3$ , with the rest of the surface defined via linear interpolation.

**Vertex Tangent Spaces and Parallel Transport** Each vertex  $v_i$  carries a unit basis vector  $X_i$  (for convenience we use one of the incident edge directions) and a coefficient  $u_i \in \mathbb{C}$  representing the associated *n*-vector with respect to this basis. To measure angles in tangent spaces, we rescale Euclidean angles at each vertex to sum to  $2\pi$  [Polthier and Schmies 1998; Zhang et al. 2006]. This rescaling effectively "flattens" the vertices, pushing their curvature into the incident triangles  $t_{iik} \ni i$ . Let

$$s_i := \frac{2\pi}{\sum_{t_{jik} \ni i} \alpha_i^{jk}},\tag{11}$$

where  $\alpha_i^{jk}$  denotes the Euclidean angle at  $v_i$  in  $t_{ijk}$ , opposite  $e_{jk}$ . (On the boundary,  $s_i := 1$ .) For parallel transport from  $v_i$  to  $v_j$  let

$$\rho_{ij} = n \left( \theta_j(X_j, e_{ij}) - \theta_i(X_i, e_{ij}) \right)$$
(12)

(cf. Eq. (4)), with  $\theta_i(X_i, e_{ij})$  denoting the rescaled Euclidean angle from  $X_i$  to  $e_{ij}$  in  $T_iM$  (and similarly for  $\theta_j$ ). Each oriented edge of the mesh is assigned the transport coefficient  $r_{ij} = e^{i\rho_{ij}}$ , which is needed for matrix assembly.

ACM Transactions on Graphics, Vol. 32, No. 4, Article 59, Publication Date: July 2013

**Curvature** Transporting an *n*-vector around the boundary of  $t_{ijk}$  computes the *holonomy*  $\Omega_{ijk} \in (-\pi, \pi]$ , which represents the curvature of our line bundle *L* over  $t_{ijk}$ :

$$e^{i\Omega_{ijk}} := r_{ij}r_{ik}r_{ki}.$$
(13)

For each triangle we compute this value as  $\Omega_{ijk} = \arg(r_{ij}r_{jk}r_{ki})$ , which is needed for matrix assembly (Sec. 6.1.1). Note that this definition implicitly assumes that curvature is constant in each triangle, and thus proportional to area – conceptually

$$\Omega_{ijk} = \int_{t_{ijk}} nK dA = nK_{ijk} |t_{ijk}|$$
(14)

where  $|t_{ijk}|$  and  $K_{ijk}$  are the area and Gaussian curvature in triangle  $t_{iik}$ .

**PL Finite Elements** For purposes of the finite element method the unit basis vectors at vertices are parallel transported into the incident triangles and attenuated linearly with the standard hat function. This gives PL basis sections  $\Psi_i$  supported on the triangles  $t_{ijk}$  incident to  $v_i$ .

From now on all fields  $\psi$  are piecewise linear, given as complex linear combinations of basis sections (App. D.1)

$$\psi = \sum_{v_i \in V} u_i \Psi_i,$$

with *u* now denoting the length |V| vector of coefficients of  $\psi$ .

#### 6.1 Algorithms

**Smoothest Field** The continuous problem of Eq. (8) turns into the generalized matrix eigenvector problem

$$Au = \lambda Mu. \tag{15}$$

Here *A* is now a  $|V| \times |V|$  Hermitian matrix representing the energy  $E_s$  with respect to the PL basis sections  $\Psi_i$ 

$$A_{ii} = \langle \langle A \Psi_i, \Psi_i \rangle \rangle,$$

while M is the Hermitian mass matrix

$$M_{ii} = \langle \langle \Psi_i, \Psi_i \rangle \rangle$$

Since we care about an eigenvector belonging to the smallest eigenvalue, we use an inverse power iteration to solve Eq. (15) – see Sec. 7 for further details.

**Aligned Field** The continuous problem of Eq. (10) turns into the matrix problem

$$(A - \lambda_t M)\tilde{u} = Mq, \tag{16}$$

with *A* and *M* as above, while *q* is the coefficient vector for the PL version of the guidance field  $\phi$ . The unit vector *u* minimizing the discretized version of  $E_{s,t}$  is given by  $u = \tilde{u}/||\tilde{u}||$  for  $t = (1 + ||\tilde{u}||)^{-1}$ . While  $\lambda_t$  depends on *t* monotonically, we have no closed form expression for the relationship. In practice we find that  $\lambda_t = 0$  is often a good (starting) value. To solve this problem we use Cholesky factorization followed by back substitution.

#### 6.1.1 Matrix Entries

Because the entries of *A* and *M* are given as integrals we can assemble them via a loop over all triangles, *i.e.*, a sum of integrals over  $t_{ijk}$ . The entries of these *local* 3×3 matrices can be computed in closed form (see App. D for their derivation). Writing  $\langle \langle ., . \rangle \rangle_{ijk}$  the subscript reflects the integration over  $t_{ijk}$  only. Assembly into the global matrices through summation is assumed.

**Mass Matrix** On triangle  $t_{ijk}$  the local mass matrix induced by the  $L_2$  inner product is given by (App. D.2)

$$\begin{split} M_{ii} &= \langle\!\langle \Psi_i, \Psi_i \rangle\!\rangle_{ijk} = \frac{1}{6} |t_{ijk}| \\ M_{jk} &= \langle\!\langle \Psi_j, \Psi_k \rangle\!\rangle_{ijk} = \bar{r}_{jk} |t_{ijk}| \frac{6e^{i\Omega_{ijk} - 6 - 6i\Omega_{ijk} + 3\Omega_{ijk}^2 + i\Omega_{ijk}^3}{3\Omega_{iik}^4}. \end{split}$$
(17)

The right hand side fraction has a removable singularity in the limit of no curvature ( $\Omega_{ijk} \rightarrow 0$ ) where it takes on the value 1/12 as expected.

**Energy Matrix** We begin with the Laplacian, *i.e.*, the Dirichlet energy terms (App. D.3)

$$\begin{split} \Delta_{ii} &= \langle \langle \nabla \Psi_i, \nabla \Psi_i \rangle \rangle_{ijk} = \frac{1}{4|t_{ijk}|} \left[ |p_{jk}|^2 + \Omega_{ijk}^2 \frac{|p_{ij}|^2 + \langle p_{ijk, ik} \rangle + |p_{ki}|^2}{90} \right] \\ \Delta_{jk} &= \langle \langle \nabla \Psi_j, \nabla \Psi_k \rangle \rangle_{ijk} \\ &= \frac{\bar{r}_{jk}}{|t_{ijk}|} \left[ (|p_{ij}|^2 + |p_{ki}|^2) f_1(\Omega_{ijk}) + \langle p_{ij}, p_{ik} \rangle f_2(\Omega_{ijk}) \right], \end{split}$$

where  $p_{ij} := p_j - p_i$  is the edge vector along  $e_{ij}$  and

$$f_1(s) := \frac{1}{s^4} \left( 3 + \iota s + \frac{s^4}{24} - \frac{\iota s^5}{60} + (-3 + 2\iota s + \frac{s^2}{2})e^{\iota s} \right)$$
  
$$f_2(s) := \frac{1}{s^4} \left( 4 + \iota s - \frac{\iota s^3}{6} - \frac{s^4}{12} + \frac{\iota s^5}{30} + (-4 + 3\iota s + s^2)e^{\iota s} \right).$$

As before the singularities in  $f_1$  and  $f_2$  are removable and

$$\langle\!\langle \nabla \Psi_j, \nabla \Psi_k \rangle\!\rangle_{ijk} \to - \frac{\bar{r}_{jk} \langle p_{ij, p_{ik}} \rangle}{4|t_{ijk}|} = -\bar{r}_{jk} \frac{1}{2} \cot \alpha_i^{jk} \quad \text{for} \quad \Omega_{ijk} \to 0,$$

recovering the standard cotan-Laplacian in the flat setting [Mac-Neal 1949].

With this, the per triangle energy matrix as a function of the parameter  $s \in [-1, 1]$  follows as (App. D.4)

$$A_{ii} = \Delta_{ii} - s \frac{\Omega_{ijk}}{|t_{ijk}|} M_{ii}$$
$$A_{jk} = \Delta_{jk} - s \left( \frac{\Omega_{ijk}}{|t_{ijk}|} M_{jk} - \epsilon_{jk} \frac{i\bar{r}_{jk}}{2} \right),$$
(18)

where  $\epsilon_{jk} = \pm 1$  according to the orientation (positive or negative) of  $e_{jk}$  with respect to  $t_{ijk}$ .

**Boundaries** Assembling the energy matrix triangle by triangle we automatically account for the boundary of the mesh (Fig. 11). In the case of the Dirichlet energy (s = 0) this amounts to zero Neumann conditions, while for  $s \neq 0$  we have the boundary terms due to  $E_A - E_H$  (App. C).

**Numerical Evaluation** The expressions given above for the offdiagonal entries of the mass and Dirichlet matrices have removable singularities as  $\Omega_{ijk} \rightarrow 0$ . To avoid numerical difficulties and ensure efficient, reliable, and accurate (to machine precision)



Figure 11: Assembly of local matrices per triangle into the global matrix automatically accounts for boundary conditions. Here an example using the anti-holomorphic energy and curvature alignment ( $\lambda = 0$ ) to the minimum (left; n = 2) and both (right; n = 4) principal curvature directions. (976ms, |T| = 106k)

evaluation of these expressions, we employ Chebyshev expansions [Gil et al. 2007]. Due to the equiripple property of Chebyshev polynomials, these can guarantee an error on the order of the least significant bit in double precision over an entire interval. Since the expressions for  $M_{jk}$  and  $\Delta_{jk}$  are only evaluated for  $\Omega_{ijk} \in (-\pi, \pi]$  and due to the symmetry resp. anti-symmetry of their real resp. imaginary parts, it is sufficient to find Chebyshev expansions for arguments in  $[0, \pi]$ . We computed these expansions with the aid of Mathematica and include ready to use code in the

### 6.1.2 Curvature Alignment

An important practical example for the alignment energy  $E_{s,t}$ , seeks to compute smoothed versions of curvature lines (n = 2) and crosses (n = 4). In this case the alignment field

$$\phi = \sum_{\nu_i \in V} q_i \Psi_i$$

is a PL approximation of the Hopf differential, *i.e.*, the tracefree part of the shape operator. In the discrete setting it is only accessible as a distribution  $\phi^{\delta}$  concentrated along edges [Cohen-Steiner and Morvan 2003]. Pairing it with our basis sections  $\Psi_i$ we compute coefficients (App. D.5)

$$\tilde{q}_i = \int_{t_{ijk} \ni i} \phi^{\delta} \Psi_i dA = -\frac{1}{4} \sum_{e \ni i} r_{ie} \beta_e |p_e|,$$

where  $\beta_e$  denotes the dihedral angle at *e* and the transport coefficients  $r_{ie} = e^{i2\theta_i(X_i,e)}$  depend on the rescaled Euclidean angle between  $X_i$  and *e*. The coefficients *q* of  $\phi$  are the solution of

$$Mq = \tilde{q}.$$

Note that |q| is proportional to the squared difference of principal curvatures  $(\kappa_1 - \kappa_2)^2$ . In umbilic regions, where principal directions are ill-defined, smoothness is therefore automatically favored over alignment (at least for t < 1 – see Eq. (9)).

An example of this behavior is demonstrated in the inset image, where q is extremely noisy due to irregular tessellation. Due to the smoothing term we still obtain good results, reliably producing principal curvature directions and the four expected umbilics. In



this example we used -q to align with minimum curvature directions; for 4-direction fields we can use  $q_i^2$ . Fig. 10 shows an example of the global trade off between smoothness and alignment and how it controls spurious singularities. Several results in Fig. 12 demonstrate further examples of alignment.

### 6.1.3 Index Computation

Given an *n*-direction field  $\psi$  we want to label each triangle *t* by an integer index<sub>*i*</sub>  $\psi$  (an index of ±1 indicating the presence of a singularity). Let  $\psi$  be given by complex numbers  $u_i$  of norm one for each vertex. Then for each edge  $e_{ij}$  we define the *rotation angle* of  $\psi$  as the unique number  $\omega_{ij} \in (-\pi, \pi)$  such that  $u_i = e^{i\omega_{ij}}r_{ii}u_i$ , and define

index<sub>t</sub> 
$$\psi := \frac{1}{2\pi} (\omega_{ij} + \omega_{jk} + \omega_{ki} + \Omega_{ijk}) \in \{-1, 0, 1\}.$$
 (19)

See App. B for more details on this definition and a proof of the associated discrete Poincaré-Hopf theorem. Singularities of index +1 and -1 are plotted as red and blue spheres, respectively, in all images.



Figure 12: A gallery of examples. The bunny with a 4-direction field aligned ( $\lambda = 0$ ) with ( $q_i^2$ ) using s = 1. The two-holed torus aligning with ( $q_i^2$ ) using s = -1. The frog shows a smoothest (s = 1) 4-direction field. The Elephant uses s = -1 and alignment ( $\lambda = 0$ ) with the minimum curvature direction -q.

ACM Transactions on Graphics, Vol. 32, No. 4, Article 59, Publication Date: July 2013

⊳ Eq. (11)
⊳ Sec. 2
⊳ Eq. (12)
⊳ Eq. (13)
⊳ Eq. (17)
⊳ Eq. (18)
⊳ Sec. 7

Algorithm 2 Smoothest Field (Sec. 6.1)	
<b>Input:</b> ( <i>M</i> , <i>A</i> )	
Output: u	
$LL^T \leftarrow \text{Cholesky}(A)$	
$u \leftarrow \text{UniformRand}(-1,1) \in \mathbb{C}^{ V }$	
for $i = 1$ to nPowerIterations do	
$x \leftarrow \text{BackSubstitute}(LL^T, Mu)$	⊳ Eq. (15)
$u \leftarrow x/\sqrt{x^T M x}$	
end for	

Algorithm 3 Aligned Field (Sec. 6.1)	
<b>Input:</b> $(q, \lambda_t \in (-\infty, \lambda_0))$	
Output: u	
$LL^T \leftarrow \text{Cholesky}(A - \lambda_t M)$	
$x \leftarrow \text{BackSubstitute}(LL^T, Mq)$	⊳ Eq. (16)
$u \leftarrow x/\sqrt{x^T M x}$	

## 7 Evaluation

To evaluate our algorithm, we compared it to the state-of-theart mixed integer method of Bommes et al. [2009]. We implemented both algorithms in a common C++ framework, using CoMISo [Bommes et al. 2012] for the mixed integer problem, and CHOLMOD [Chen et al. 2009] for linear systems required in our method. To avoid factorization issues in case A is rank deficient we add a small multiple ( $\varepsilon = 10^{-8}$ ) of M to A prior to factorization; this shift does not change the eigenvectors. All timings were taken on the same 2.4GHz Intel Core 2 Duo machine. For our eigenvector problem (Eq. (15)) we factorized A and applied a fixed number of power iterations (20 for all examples shown in this paper). Note that since we need only the smallest eigenvalue, we do not require a sophisticated eigensolver like ARPACK, making our method particularly easy to implement. To minimize alignment energy we performed factorization and a single back-substitution. On a mesh of over 350k triangles, total time for our method was 11s for smoothing, 7s for alignment; the mixed integer method required more than three minutes for smoothing and just under three minutes for alignment. Fig. 13 gives more detailed timing information; overall speedup was approx. 19x on average.

To assess the quality of our results we compared fields produced by *both* methods using the energy described in Eq. (1), *i.e.*, the same energy minimized by Bommes *et al*.Note that our method produces smoother fields, even though we do not explicitly minimize this energy – in these examples we minimize our Dirichlet energy (s = 0), which is most similar to Eq. (1). For curvaturealigned fields, we do not attempt a direct comparison of energies due to the large number of parameters involved in the method of Bommes *et al*.; we report only the number of singularities *S* and the time *t*.



Figure 13: Log-log plot of computation time for a variety of common models. Dots and crosses correspond to smoothest and curvature-aligned fields, respectively. Overall, we observe an average speedup of 19.3x relative to the method of Bommes et al.

Fig. 14 shows a rounded cube – here we achieve a lower energy than the mixed integer method both when optimizing smoothness (Dirichlet) and alignment. Even with no guidance field present (top row), our method places the expected 8 singularities at cube corners. A final set of examples is shown in Fig. 15. On these more complex shapes the two methods find similar configurations of singularities, yet we achieve our results in *significantly* shorter time.

**Robustness** Inspired by [Bommes et al. 2009, Fig. 8], Fig. 16 shows the results of our curvature-aligned smoothing algorithm applied to an extremely poor triangulation (left) of an object with sharp features (here we use s = 0). Our method places singularities in the expected locations; adding noise (*middle*) or regularization (*right*) only slightly perturbs singularity locations in otherwise flat regions.



Figure 14: A comparison of results on the rounded cube. Smoothest fields (top row) and curvature aligned fields (bottom row) by method. Optimization of Eq. (1) (left column) versus our Dirichlet energy (right column). In each case the energy according to Eq. (1) is reported.



Figure 15: Comparison of results using optimization of Eq. (1) (top) with minimization of our Dirichlet energy (bottom). Note in particular the difference in timings.



Figure 16: Our method is robust to ill-conditioned, noisy, and over-regularized meshes.

## 8 Conclusion

We have presented a principled formulation of *n*-direction fields on surfaces that naturally leads to efficient numerical algorithms. Although we have focused primarily on automatic singularity placement, our formulation provides a useful theory that can be applied in a variety of applications, especially in light of wellestablished connections with existing work (Sec. 4). These connections open up a number of interesting avenues for future investigation. For example, in some applications it is still desirable to edit fields manually in order to accommodate other (e.g.æsthetic) criteria. Editing could easily be achieved in our framework via a dualized version of the trivial connections algorithm of Crane et al. [2010]. One might also use holomorphic and/or anti-holomorphic n-vector fields as the basis for surface parameterization, creating a direct path to quad meshing (e.g., [Kälberer et al. 2007]). Different weighting schemes for the magnitude of the field  $\phi$  may also permit the incorporation of other interesting criteria via the alignment term  $E_l$ .

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#### 59:10 • F. Knöppel et al.

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